Appendix to Attachment 3.1-B Page 13 (A)

te <u>ILLINOIS</u>

124	REHABILITATIVE	CEDUTCEC
13d.	KEHABILITATIVE	ファドヘエヘアン

1/92 Alcohol and Substance Abuse Services

Subacute alcohol and substance abuse treatment services are to be provided in a subacute setting licensed by the Department of <u>Human Services (DHS)</u>

- =7/97 Alcoholism and Substance Abuse (DASA) or a hospital licensed by the Department of Public Health; all facilities must be certified for
- participation by DHSASA. All Services will be provided by or under the direction of a qualified treatment professional in accordance with a treatment plan approved by a physician. A qualified treatment professional must meet at least one of the following minimum requirements set by the Department of Human Services (DHS) Alcohol and Substance Abuse:
- =7/97 hold clinical certification as a Certified Alcohol and Drug Counselor from the Illinois Alcoholism and Other Drug Abuse Professional Certification Association (IAODAPCA);
 - be a licensed professional counselor or licensed clinical professional counselor pursuant to the Professional Counselor and Clinical Professional Counselor Licensing Act;
 - be a physician licensed to practice medicine in all its branches pursuant to the Medical Practice Act of 1987;
 - <u>be licensed as a psychologist pursuant to the Clinical Psychology</u>
 Practice Act: or
 - be licensed as a social worker or licensed clinical social worker pursuant to the Clinical Social Work and Social Work Practice Act.
- =7/97 A qualified treatment professional may also be a person holding a Master's or higher level degree in social work, psychology, or counseling.

 Physicians are deemed to meet this requirement.

Alcohol and substance abuse treatment services will be limited to the following:

Outpatient services - Level I care - The provision of diagnostic, assessment, counseling and discharge planning services, either and individually or in a 7 group, or family drug-free treatment on a scheduled or unscheduled basis to an individual who, in the clinical judgement of a qualified treatment professional, is experiencing a problem with alcohol and/or other drugs. These services shall be delive accordance with an individual's treatment plan recommended by a secondance with an individual's treatment plan recommended by a line with a period. No more than two of those hours may be reim to date of service), and nNo more than 40 hours may be reimbursed for an eligible adult client individual age 18 and over per benefit year.

TN # <u>97-9</u>	APPROVAL DATE	5-4-98	EFFECTIVE	DATE	7-1-97
SUPERSEDES					

ate ILLINOIS

=7/97 Short-term rehabilitation (iIntensive oOutpatient) - Level II care -The provision of diagnostic, assessment, counseling and discharge planning services, either and individually or in a group drug free treatment on a scheduled-only outpatient basis to an individual who, in the clinical judgement of a qualified treatment professional, is experiencing a problem with alcohol and/or drugs. These services shall =7/97 be delivered in accordance with an individual's treatment plan recommended by a physician. Intensive outpatient is a structured program offered a minimum of two days or evenings per week (not exceeding four hours a day) nine hours per week which provides the appropriate hours of service for the level of care required by the client (as set forth in his treatment plan) ranging from 6 to 20 hours of documented treatment services per client per week. Treatment must occur in a certified licensed subacute outpatient setting. No more than 75 hours of service may be reimbursed for an eligible adult client individual per benefit year (starting with the first date of service).

=7/97 Short term rResidential rRehabilitation - Level III care - the provision of diagnostic, counseling and discharge planning services, either and individually or in a group drug free treatment on an inpatient basis to an individual under age 10 21 as an EPSDT benefit who, in the clinical judgement of a qualified treatment professional, is experiencing a problem with alcohol and/or other drugs. Services shall be delivered in accordance with an individual's treatment plan recommended by a physician. Short-term TResidential rehabilitation is a structured program offered seven days a week. This includes a minimum of 25 hours of documented treatment per client per week, and Services must occur in a psychiatric facility or in an inpatient program in a psychiatric facility, either of which is accredited by the Joint Commission on Accreditation of Healthcare Organizations. No more than 40 days shall be reimbursed per benefit year for short term residential rehabilitation alone or in combination with day treatment.

Day Treatment - Level III care - The treatment services are the same as short term residential rehabilitation services except that the services shall be provided by a program licensed by the Department of Human Services (DHS) Alcoholism and Substance Abuse and certified as having 16 beds or less and may be provided to well as individuals under the age of 10. Services shall exed in accordance with an individual's treatment plan led by a physician. No more than 30 days shall be deep benefit year for an eligible adult client individual deleter and no more than 40 days shall be reimbursed per year for an individual under 10 alone or in combination with short term residential rehabilitation.

Psychiatric diagnostic service - The provision of an evaluation by a psychiatrist and/or examination of a client and exchange of information to determine whether the client's condition is due to the effects of alcohol and or other drugs or to a diagnosed psychiatric disorder.

TN # <u>97-9</u>	APPROVAL	DATE	3-4-78	EFFECTIVE	DATE	7-1-97
SUPERSEDES						

SUPERSEDES
TN # ____95-13_

=7/97

10/91

Appendix to Attachment 3.1-B Page 15

ite <u>ILLINOIS</u>

7/95 =7/97

Hospital based short term residential rehabilitation provision of diagnostic services and individual or group drugtreatment on a scheduled only residential basis in a licensed subacute setting to an individual under 10 years of age. This service is designed to reduce or eliminate, through a controlled milieu, an individual's intake of alcohol and/or other drugs. Residential rehabilitation must be delivered in accordance with an individual treatment plan recommended by a physician: Services must include; but are not limited to, assessment, evaluation, diagnosis, and subsequent individual, group or family counseling, education, case coordination, aftercare and follow-up. Residential rehabilitation is a structured residential program offered seven days per week and includes a minimum of 25 hours of treatment activities per client per week: Individuals experiencing active psychotic manifestations, or other severe mental or physical illness which requires immediate acute medical or psychiatric care, should not be admitted to residential rehabilitation. In addition, the individual shall not be intoxicated, incapacitated due to the effects of alcohol and/or other drugs, or in withdrawal. No more than 40 days shall be reimbursed per benefit year for short-term residential rehabilitation alone or in combination with day treatment.

All services or treatments which are medically necessary to correct or lessen health problems detected or suspected by the screening process will be provided to individuals under age 21 as an EPSDT benefit and without regard for the established benefit limits for alcohol and substance abuse services.

Benefit limits will not be applied to a woman who enters treatment during pregnancy and through the end of the month in which the 60-day period following termination of the pregnancy ends (post partum period), or until services are no longer clinically necessary, whichever comes first. This benefit does not apply to a woman who enters treatment services after delivery.



rn #	97-9	APPROVAL	DATE	5-4-98	EFFECTIVE	DATE	7-1-97
------	------	----------	------	--------	-----------	------	--------

Appendix to Attachment 3.1-B Page 16

State ILLINOIS

=7/96

10/91 Mental Health Services

Rehabilitative mental health services are to be delivered by providers certified for Medicaid participation by designated State agencies. A comprehensive assessment will be developed for each eligible individual by qualified mental health professionals (QMHPs) and mental health professionals (MMPs). A physician, licensed clinical psychologist (LCP) or a licensed clinical social worker (LCSW) as licensed practitioners of the healing arts will review the assessment and may recommend that medical or remedial services are necessary to reduce the physical or mental disability of an individual or to restore an individual to the maximum possible functioning level. Once the recommendation for mental health services has been made, an individualized treatment plan will be developed and implemented by professional staff including QMHPs and MHPs. The treatment plan must be reviewed and approved by a physician or a licensed practitioner of the healing arts or a QMHP with supervisory authority over the professional staff responsible for implementing the treatment plan.

- OMHPs, MHPs and Rehabilitative Services Associates (RSAs) provide mental =7/96 health services. A DMHP is defined as one of the following positions:
 - 1. A physician licensed under the Medical Practice Act of 1987 to practice medicine or osteopathy with training in mental health services or one year of clinical experience, under supervision, in treating problems related to mental illness, or specialized training (the treatment of children and adolescents);
 - 2. A psychiatrist /a physician licensed under the Medical Practice Act of 1987) who has successfully completed a training program in psychiatry approved by the American Medical Association, the American Osteopathic Association or other training program identified as equivalent by the Department;
 - 3. A psychologist licensed under the Clinical Psychologist Licensing Act with specialized training in mental health services;
 - 4. A social worker possessing a master's or doctoral degree in social work and licensed under the Clinical Social Work and Social Work Practice Act with specialized training in mental health services;
 - 5. A registered nurse licensed pursuant to the Illinois Nursing Act of 1987 with at least one year of clinical experience in a mental health setting or master's degree in psychiatric nursing;
 - 6. An occupation therapist registered pursuant to the Illinois Occupational Therapy Practice Act with at least one year of clinical experience in a mental health setting;
 - 7. An individual with a master's degree and at least one year of clinical experience in mental health services and who holds a license to practice marriage and family therapy pursuant to the Marriage and Family Licensing Act [225 ILCS 55]:

TN ♦96~4	APPROVAL DATE 2-2-98	EFFECTIVE DATE	07-01-96
SUPERSEDES IN #91-23			

Appendix to Attachment 3.1-3 Page 16 (A)

State ILLINGIS

=7/96

8. An individual possessing a master's or doctoral degree in counseling and guidance, rehabilitation counseling, social work, psychology, pastoral counseling, or family therapy, or related field who has successfully completed a practicum and/or internship which includes 1,000 hours, or who has one year of clinical experience under the supervision of a gualified mental health professional, or who is a licensed social worker holding a master's degree with two years of experience in mental health services or who is a permanently licensed professional counselor under the Professional Counselor and Clinical Professional Counselor Licensing Act holding a master's degree with one year of experience in mental health services.

A mental health professional (MHP) provides services under the supervision of a qualified mental health professional. The MHP must possess a bachelor's degree, a practical nurse license pursuant to the Illinois Nursing Act of 1987 [225 IICS 55] or have a minimum of five years supervised experience in mental health or human services.

A rehabilitative services associate (RSA) assists in the provision of services. An RSA must be at least 21 years old, have demonstrated skills in the field of services to adults or children, have demonstrated the ability to work within agency structure and accept supervision, and have demonstrated the ability to work constructively with clients, other providers and the community.

- =7/96 In addition to providing necessary medical services to reduce the physical disability and maximize the potential of the individual, other Mental health services may include:
 - client-centered consultation: professional communication with others who are involved with the treatment process, including staff of other agencies, schools, family members or others for the purpose of implementing and/or evaluating the treatment plan. The focus of this activity is to manage and direct the treatment process; it is not case management activity:
 - osychosocial rehabilitation day programming: a structured program of daily activities provided in an environment which permits maximum participation of the individual. Core service elements are individual or group counseling and social rehabilitation;
 - <u>psychiatric treatment</u>: services include psychotherapy, mental health counseling and/or medication administration, monitoring and training. Services are provided to eligible individuals, families or to groups of individuals;
- crisis intervention: services include crisis assessment, short-term intervention and referral for persons who appear to need immediate intensive intervention; and

TN # 96-4	APPROVAL DATE	2-2-98	EFFECTIVE	DATE	07-01-96
SUPERSEDES TN #91-23					

Appendix to Attachment 3.1-B Page 16 (B)

State ILLINOIS

- community based rehabilitation: an array of rehabilitative mental health services to assist the individual in maintaining residential =7/96 stability, independence and integration in the community. Services include intexdisciplinary treatment plan development review and/or modification, assertive outreach and psychosocial rehabilitation; and
 - intensive theraneutic intervention for children under age 21: a comprehensive service provided in the home, school or other community-based locations to reduce risk of more restrictive treatment such as inpatient hospitalization. Services may include one-to-one counseling for therapeutic activities, counseling related to treatment plans goals and objectives, psychosocial rehabilitation related to emotional deficits, counseling of the caregiver in behavioral management and assistance in household management.
- All treatment is focused on the Medicaid eligible client. Any consultation or treatment involving families or other persons is solely for the purpose of addressing the mental health needs of the Medicaid client. -7/96
- Mental health services are provided to clients of all ages unless specified **-**7/36 otherwise.
- -7/95 Mental health services as described above may be provided either as optratient community-based services or as residential rehabilitation services. Outpatient community-based services are defined as any one of the above services provided by a certified provider who is enrolled with the Department of Public Aid. Residential rehabilitation services are an array of outpatient community-based services, where one or more of the mental health services are provided on a daily basis to an eligible chi under the age of 21 who is residing in a specialized substitute care living arrangement. Residential rehabilitation providers are also certified and enrolled with the Department.

TX #96-4	APPROVAL DATE	2-2-98	EFFECTIVE DATE	07-01-96
SUPERSEDES IN # 36-10				

State <u>ILLINOIS</u>

07/93 Special Rehabilitation Services

A. Eligible Providers

A provider of special rehabilitation services is as defined herein and agrees in writing with the single State agency as follows:

- to provide special rehabilitation services as prescribed by professionals acting within their scope of practice as defined by State law; and
- 2) to provide special rehabilitation services in the least restrictive environment; and
- 3) to comply with the provisions for quality assurance specified in this Attachment of the State plan; and
- 4) to maintain and submit all records and reports to ensure compliance with the Illinois State Board of Education's and Illinois Department of Public Aid's administrative rules; and
- 5) to assure that claiming for special rehabilitation services does not duplicate EPSDT Administrative Outreach services.

A provider of special rehabilitation services must be approved for participation and enrolled the Illinois Medical Assistance Program. Services are provided directly by the special rehabilitation service provider or through subcontractors.

B. Benefits and Limitations

Special rehabilitation services are evaluative, diagnostic and treatment services to correct any defects or conditions or to teach compensatory skills for deficits that directly result from a medical condition. These services include obtaining, interpreting and integrating the above evaluative, diagnostic and treatment information appropriate to an individual's coordinated plan of care.

			JUN 1 2 1998		
TN No.	96-10	Approval Date		Effective Date	04-01-96
Superse	edes				
TN No.	92-11				

State ILLINOIS

7/93 Special rehabilitation services include the following:

- 1) Speech, Language and Hearing: These are services for individuals with speech, language and hearing disorders. The services are provided by or under the direction of a speech pathologist or audiologist, as the result of a referral by a physician as defined in 42 CFR 440.110(c). These services mean evaluations to determine an individual's need for these services and recommendations for a course of treatment; and treatments to an individual with a diagnosed speech, language or hearing disorder adversely affecting the functioning of the individual.
- 2) Occupational Therapy: These services are prescribed by a physician and provided by or under the direction of a qualified occupational therapist as defined in 42 CFR 440.110(b). These services mean evaluations of problems interfering with an individual's functional performance and therapies which are rehabilitative, active or restorative, and designed to correct or compensate for a medical problem interfering with age appropriate functional performance.
- 3) Physical Therapy: These services are prescribed by a physician and provided by or under the direction of a qualified physical therapist as defined in 42 CFR 440.110(a). These services mean evaluations to determine an individual's need for physical therapy and therapies which are rehabilitative, active or restorative, and designed to correct or compensate for a medical problem.
- 4) Nursing: These services are performed by a Registered Nurse within the scope of his/her practice relevant to the medical and rehabilitative needs of the individual. Services include medication administration/monitoring, catherization, tube feeding, suctioning, screening and referral for health needs and explanations of treatments, therapies, and physical or mental conditions with family or other professional staff.

=1/95 5) Medical Services: These services are provided by a physician licensed to practice medicine in all its branches for the purpose of evaluation, testing, diagnosis and consultative services with the individual. Services include diagnostic, evaluative and consultative services for the purposes of identifying or determining the nature and extent of an individual's medical or other health-related condition.

TN No. 95-05 Approval Date 4-24-95 Effective Date 01-01-95 Supersedes TN No. 92-11

State **ILLINOIS**

- 6) Psychological, Counseling and Social Work: These services mean diagnostic or active treatments with the intent to reasonably improve the individual's physical or mental condition. They are provided to individuals whose condition or functioning can be expected to improve with these interventions. These services are performed by a licensed physician or psychiatrist; or other licensed or equivalent psychological, counseling and social work staff acting within their scope of practice. These services include but are not limited to testing and evaluation that appraise cognitive, emotional and social functioning and self concept; therapy and treatment that is planning, managing, and providing a program of psychological services to individuals with diagnosed psychological problems; and unscheduled activities for the purpose of resolving an immediate crisis situation.
- 7) Developmental Testing: These services mean testing performed to determine if motor, speech, language and psychological problems exist or to detect the presence of any developmental lags. These services are performed by or under the supervision of a licensed physician or other provider acting within their scope of practice.

=10/96

- 8) Optometric services: These services include evaluation and assessment of visual functioning including the diagnosis and appraisal of specific disorder, delay and abilities. It includes the dispensing of eyeglasses and other optical materials. These services are performed by an licensed optometrist.
- C. Frequency, Duration and Scope

Special rehabilitation services, as medically necessary services subject to the limitations of the State plan, are provided to assist eligible individuals in the identification of their illnesses or disabilities regarding their capacity to function.

IDPA has the responsibility to monitor the operation of the programs and services covered by Medicaid including provider certification. Ongoing certification of providers includes the following elements:

1) monitoring of providers' staff qualifications and validating providers' listing of staff providing special rehabilitation services;

TN No. <u>96-15</u> Supersedes TN No. <u>95-05</u>

Appendix to
Attachment 3.1-B
Page 16(F)

State <u>ILLINOIS</u>

- 2) performance of site survey(s) to verify the ability of an agency to be a qualified provider;
- 3) monitoring of providers to ensure that special rehabilitation services are appropriate, effective and delivered in a cost effective manner consistent with the reduction of physical or mental disabilities; and
- 4) policies and procedures to address provider noncompliance with applicable Federal and State laws and regulations and policies of the Illinois Medical Assistance Program.
- 14b. SKILLED NURSING FACILITY SERVICES FOR INDIVIDUALS AGE 65 OR OLDER IN INSTITUTIONS FOR MENTAL DISEASES

Preadmission screening is required.

TN No.	96-10	Approval Da	ite JUN	1 2 1998	Effective	Date	04-01-96	
Supers		••						
TN No.	92-11							